



JE04) Rec'd T/PTO 26 JUN 2001  
09/744682

13202.00265

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
: Examiner: NYA  
VIVIAN DALL'ARMI, ET AL. )  
: Group Art Unit: NYA  
Application No.: 09/744,682 )  
:   
Filed: January 29, 2001 )  
:   
For: FLUID TREATMENT SYSTEM ) June 26, 2001  
AND CLEANING APPARATUS :  
THEREFOR )

**Box Missing Parts**

Commissioner for Patents  
Washington, D.C. 20231

**ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL  
PROCESSING AND CORRESPONDENCE BRANCH**

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
AND PETITION UNDER 37 C.F.R. 1.136(a)**

07/10/2001 WCLAYBRD 00000001 501710 09744682  
Sale Ref: 00000001 DA# 501710 09744682  
01 FC:154 \$120.00 CH

Initially, Applicants would like to change docket no.  
"1960.235" to --13202.00265--.

Applicants petition the Commissioner for Patents to  
extend the time for response to the Notification Of Missing  
Requirements dated February 26, 2001 for three (3) months from  
March 26, 2001 to June 26, 2001.

In response to the NOTIFICATION OF MISSING REQUIREMENTS mailed February 26, 2001, enclosed please find an executed Declaration/Power of Attorney form, along with a Verified Statement Claiming Small Entity Status. Also enclosed is a copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED paper.

The Commissioner is hereby authorized to charge Deposit Account No. 50-1710 for the \$65.00 surcharge fee and \$445.00 extension of time fee. The Commissioner is also authorized to charge any additional fees due with respect to this filing to Deposit Account No. 50-1710.

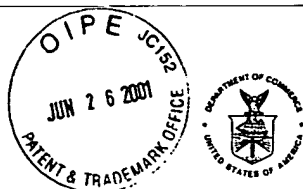
Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3500. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants

Registration No. 31,588

PATENT ADMINISTRATOR  
KATTEN MUCHIN ZAVIS  
525 West Monroe Street  
Suite 1600  
Chicago, Illinois 60661-3693  
4101471v1



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/744682	TROJAN	V 1960-235

KATTENT MUCHIN ZAVIS  
525 WEST MONROE STREET SUITE 160  
CHICAGO, IL 60661 3693

INTERNATIONAL APPLICATION NO.

PCT/CA00/00617

I.A. FILING DATE

PRIORITY DATE

26 MAY 00

28 MAY 99

DATE MAILED: 26 FEB 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:

- ☒ a Designated Office (37 CFR 1.494),  
☐ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☒ a non-English language.  
☐ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 29 January 2001 and

☒ Information Disclosure Statement(s) filed 29 January 2001 and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☒ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Deborah Williams *DW*

Telephone: 703-305-3744